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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (To be used for all correspondence after initial filing)	Application Number	10/009,242
	Filing Date	April 3, 2002
	First Named Inventor	Gunther Burgard
	Group Art Unit	3731
	Examiner Name	
	Attorney Docket No.	700117.401USPC

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TECHNOLOGY CENTER R5700


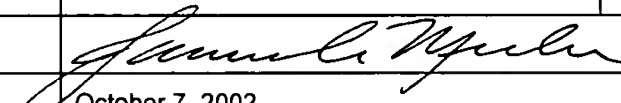
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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Request for Corrected Filing</u> <u>Receipt; Copy of Filing Receipt</u> <u>with Correction; Copy of Declaration (as filed)</u>
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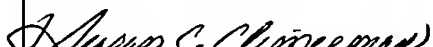
Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	James A. Mesher	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	October 7, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box PCT, Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name	Susan C. Clingerman	
Signature		Date: October 7, 2002

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box PCT, Commissioner for Patents, Washington, DC 20231.

Date October 7, 2002 Susan C. Clingerman  
Susan C. Clingerman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Gunther Burgard	
Application No.	:	10/009,242	
Filed	:	April 2, 2002	
For	:	FISTULA BLOCKER	
		Art Unit	: 3731
		Docket No.	: 700117.401USPC
		Date	: October 7, 2002

Box PCT  
Commissioner for Patents  
Washington, DC 20231

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents:

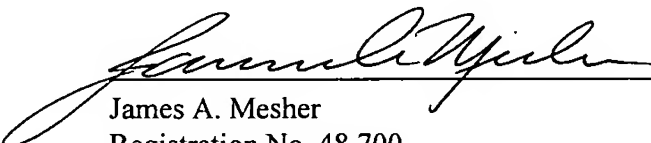
Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error with respect to the following data, which is incorrectly entered. There is an error in the named Applicants. George C. Rondeau should not be listed as an applicant. The sole applicant of the above-identified application is:

U.S. Application No. 10/009,242

A copy of the Declaration filed April 3, 2002 with the U.S. Patent Office, setting forth the Inventorship of the above-identified application, is enclosed for your reference and convenience. The correction to be made has been marked in red on the enclosed copy of the Filing Receipt.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

  
James A. Mesher  
Registration No. 48,700

JAM:scc

Enclosures:

Postcard  
Form PTO/SB/21  
Copy of Filing Receipt  
Copy of Declaration

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Phone: (206) 622-4900  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/009,242	04/03/2002	3731	1300	700117.401USPC		18	1

CONFIRMATION NO. 8612

George C Rondeau  
Seed Intellectual Property Law Group  
701 5th Avenue Suite 6300  
Seattle, WA 98104-7092

## FILING RECEIPT



\*OC000000007972687\*

Date Mailed: 04/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~George C. Rondeau, Seattle, WA,~~  
Gunther Burgard, Homburg, GERMANY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/05273 06/07/2000

## Foreign Applications

GERMANY 29909888.5 06/07/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

## Title

Fistula blocker

## Preliminary Class

606

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/009,242	Gunther Burgard	700117.401USPC

INTERNATIONAL APPLICATION NO.
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PCT/EP00/05273

LA. FILING DATE	PRIORITY DATE
06/07/2000	06/07/1999

George C Rondeau  
Seed Intellectual Property Law Group  
701 5th Avenue Suite 6300  
Seattle, WA 98104-7092

CONFIRMATION NO. 8612

371 FORMALITIES LETTER



\*OC000000007419348\*

Date Mailed: 02/05/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

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SEED INTELLECTUAL PROPERTY  
LAW GROUP PLLC

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)